

EXHIBIT I
FEDERAL ENERGY REGULATORY COMMISSION
(FERC)

ELECTRIC REPORTS

ANNUAL REPORTS OF FINANCIAL ACCOUNTS, PLANTS, OPERATIONS

Form 1: Annual Report (Classes A and B)

Detailed financial and operating information, filed by federally and privately owned electric utilities with electric operating revenues of \$1 million or more. Due March 31

Form 1-F: Annual Report (Classes C and D)

Financial and operating information, filed by privately owned electric utilities with annual electric operating revenues of between \$25,000 and \$1 million. Due March 31.

Form 1-M: Annual Report, Municipal Electric Utilities

Similar information from municipal electric utilities with annual revenues of \$250,000 or more. Due March 31.

Form 9: Licensed Project Annual Report

From owners of major privately-owned FERC-licensed hydroelectric projects, covering all projects with installed capacity of more than 2,000 horsepower owned by the licensee. Due April 30.

ANNUAL POWER SYSTEM OPERATIONS

Form 12: Power System Statement

Filed by all investor or publicly owned systems which generate all or part of their requirements and whose net energy for the year was over 20 million kilowatt-hours. Contains annual information on electric power generation, energy exchanges, and sales to ultimate consumers. Due May 1. Full information on generating equipment is filed on a five-year cycle only.

Form 12-A: Power System Statement

Same annual system generation and power exchange information, filed by systems with from 5 to 20 million kilowatt-hours net energy, systems engaged primarily in sale for resale or sales to industrial users, and systems which obtain their entire energy requirements from other systems. Due May 1.

Form 12-C: Industrial Electric Generating Capacity

From all industrial establishments which own or operate generating capacity, other than motor generators, under 5,000 kilowatts. Due May 1.

Form 12-D: Power System Statement

Filed annually by each utility with energy requirements under 5 million kilowatt-hours, containing information on generation, energy exchanged, deliveries to ultimate consumers by type of use, and projected changes in system generating facilities. Due May 1.

Form 12-F: Power Line Data

Power line data, filed by electric utility systems with power lines operating at 69 kilovolts and above. Data submitted is as of June 30 each year and is due at the Commission on July 31.

MONTHLY POWER GENERATION AND OPERATION

Form 12-E-2: Monthly Supplement to Power System Statement

Filed by approximately 270 major electric utility systems. Several systems operated under some form of power pool or common dispatching submit only a summary report. This monthly report provides energy generation and monthly peak load data. A semi-annual supplement provides near and long term load projections as well as generator and transmission line construction schedules. Due 15 days after end of month reported.

Form 4: Monthly Power Plant Report

Filed by all electric utilities with generating capacity, monthly information on generation of electricity and consumption and stocks of fuel (Form 4-white), and from industrial establishments with installed generating capacity of 10,000 kilowatts or more (Form 4-pink). Due 10 days after close of month reported.

RETAIL POWER RATES

Form 3: Typical Net Monthly Bills for Residential Service

Filed annually by selected power suppliers in each state for specified communities, typical net monthly bills for power at retail for residential service for communities of 2,500 or more population; and commercial and industrial service for communities of 50,000 or more, or if there are no cities that size, the three largest. Due about Feb. 15.

Form 3-A: All-Electric Homes Data Sheet

Filed annually by power suppliers in all cities having population of 50,000 or more or supplying the three largest cities, net annual retail bills for all-electric homes computed under rates applicable January 1. Also, latest information on number of all-electric customers and average electric consumption. Due April 1.

Form 3-P: Monthly Electric Bill Data

Residential, commercial, and industrial electric bill data for the U.S. Bureau of Labor Statistics, Consumer and Wholesale Price Indexes.

Form 13: Summary for National Electric Rate Book

Selected retail rate schedules of electric utilities, both public and private, for inclusion in the FERC National Electric Rate Book. Filed periodically as requested by

FERC.

Form 82: Retail Rate Level Change

All changes in retail rates, filed within 60 days of date of change, from all electric utilities serving at least one community of 2,500 or more population.

OTHER ELECTRIC REPORTS

Form 5: Monthly Statement of Electric Operating Revenues & Income

Monthly information on operating revenues and income, filed by all privately owned electric utilities with annual electric operating revenues \$2.5 million and over, and certain publicly owned utilities. Due about 40 days after end of month.

Form 6: Initial Statement of Actual Legitimate Original Cost

An initial statement of actual legitimate original cost of FERC licensed hydroelectric projects, filed by all licensees of projects over 2,000 horsepower installed capacity and above.

Form 7: Statement of Actual Legitimate Original Cost

A statement filed after determination by the FERC of actual legitimate original cost of construction of an FERC-licensed hydroelectric project.

Form 67: Steam-Electric Plant Air and Water Quality Control Data

Annual information on steam-electric plant air and water quality control, for each generating plant 25 megawatts or more. Due May 1.

Form 80: Licensed Projects Recreation Report

From all licensees, a bi-annual report showing recreational use and development at FERC-licensed hydroelectric power projects. Due June 30 in odd numbered years only.

Form 237-A: (Yellow) Fuel Emergency Report, Coal as Principal Fuel

To be filed within two days after end of reported week when any generating electric utility faces a fuel emergency.

Form 237-B: (Blue) Fuel Emergency Report, Oil as Principal Fuel

To be filed within two days after end of reported week when any generating electric utility faces a fuel emergency.

Form 423: Monthly Report of Cost and Quality of Fuels for Electric Plants

Filed by each electric power producer for each plant (steam, internal combustion, gas turbine or any mix) 25 megawatts capacity or greater, monthly data on cost, quality and source of fuels delivered. Due days after end of month reported.

Regional Reliability Council Annual Reports

The geographical area of the 48 contiguous states is divided into nine electric reliability councils. Each of these nine regional electric reliability councils submits annually a non-formatted report detailing the regional

coordinated bulk power supply plans. Listings of projected loads, existing and projected generation, and proposed transmission lines (over 230 kilovolts) are included. Information is also provided on the communications systems and other coordinated operating practices in each council area. Detailed information is given for the upcoming 10-year period with more general data for the 11-20 year upcoming period. Due April 1.

Index of Electric Rate Schedules

Issued quarterly.

HOW TO OBTAIN COPIES

Files and records of the Federal Energy Regulatory Commission are available for public inspection in the FERC's Office of Public Information. Photocopies of public records may be obtained through a private firm under FERC contract, requiring payment of a fee directly to the firm. Written requests for photocopies must be directed to the Commission's Office of Public Information. The reproduction company cannot accept orders submitted directly by members of the public. Completed orders are mailed C.O.D. by the Company. Orders may also be picked up at the office of the company or may be delivered by messenger within the District of Columbia.

Most FERC publications are sold in the Office of Public Information, on a cash, over-the-counter basis only, in addition to availability from the Superintendent of Documents, U.S. Government Printing Office.

INFORMATION AVAILABLE OUTSIDE WASHINGTON, D.C.

The Federal Energy Regulatory Commission requires companies under its regulatory jurisdiction to keep the following types of information available for inspection by members of the public in a convenient form during each company's business hours at the places specified.

At an Electric Utility's Principal and District or Division Offices in the Territory Served:

Complete rate schedules clearly setting forth all rates and charges for any transmission of electric energy at wholesale for resale in interstate commerce subject to FERC jurisdiction, and the classifications, practices, rules and regulations affecting such rates and changes, and all contracts which in any manner affect or relate to such rates, charges, classifications, services, rule and regulations, or practices.

**LIST OF PROPOSED
REGULATORY INFORMATION SYSTEM FORMS**

<u>Form No.</u>	<u>Title</u>	<u>Would Replace Part or All of Existing Form Nos.</u>
156	Report of Generating Plant, Technical, Environmental, and Operating Data	1, 1F, 1M, 4 4A, 12, 12A, 12D, 67, 423
157	Annual Report of Power System Transmission and Distribution Technical Data	1, 1F, 1M, 12, 12F
158	Annual Report of Power Systems Energy Accounting, Peak Demands, and Intersystem Purchases and Sales	1, 1F, 1M, 12, 12, 12D
159	Report on Retail Electric Bills and Rate Changes	3, 3A, 82
160	Report of Industrial Generation of Electric Energy and Electric Generating Capacity	4B, 12C
161	Licensed Project Information	9, 80
162A	Annual Corporate and Financial Report for Class A and B Electric Utilities	1
162M	Monthly Corporate and Financial Report for Class A Electric Utilities	5
163	Corporate and Financial Report for Classes C and D Electric Utilities and Licensees	1F
164	Financial Report for Municipal Electric Utilities and Federal Projects	1, 1M

FEDERAL ENERGY REGULATORY COMMISSION OFFICES

Office	Serves
WASHINGTON, D.C.: Director Office of Electric Power Regulation Federal Energy Regulatory Commission 825 North Capitol Street, N.E. Washington, D.C. 20426 Tel: (202) 275-4006	
ATLANTA: Regional Engineer Federal Energy Regulatory Commission 730 Peachtree Building Atlanta, Georgia 30308 Tel: (404) 526-5134	Alabama, Florida, Georgia, North and South Carolina, Tennessee and parts of Kentucky, Mississippi, Virginia, and West Virginia
CHICAGO: Regional Engineer Federal Energy Regulatory Commission 31st Floor, Federal Building 230 S. Dearborn St. Chicago, Illinois 60604 Tel: (312) 353-6171	Illinois, Indiana, Iowa, Michigan, Minnesota, North Dakota, Wisconsin, and parts of Kansas, Missouri, Montana, Nebraska, Ohio, South Dakota, and Wyoming
FORT WORTH: Regional Engineer Federal Energy Regulatory Commission 819 Taylor Street Fort Worth, Texas 76102 Tel: (917) 334-2631	Arkansas, Colorado, Louisiana, Oklahoma, Texas, and parts of Kansas, Mississippi, Missouri, Nebraska, New Mexico, South Dakota, and Wyoming
NEW YORK: Regional Engineer Federal Energy Regulatory Commission 26 Federal Plaza (22nd Floor) New York, New York 10007 Tel: (212) 264-3687	Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, and parts of Kentucky, Ohio, Virginia, and West Virginia
SAN FRANCISCO: Regional Engineer Federal Energy Regulatory Commission US Customs House 555 Battery Street San Francisco, California 94111 Tel: (415) 556-3581	Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, Washington, and parts of Montana, New Mexico, and Wyoming west of the Continental Divide

EXHIBIT II
EXTRACTS FROM THE
UNIFORM SYSTEMS OF ACCOUNTS
APRIL 1973
FEDERAL ENERGY REGULATORY COMMISSION

APPLICABILITY OF SYSTEMS OF ACCOUNTS

These systems of accounts are applicable in principle to all Classes A, B, C and D licensees subject to the Commission's accounting requirements under the Federal Power Act, and to all Classes A, B, C and D public utilities subject to the provisions of the Federal Power Act. The Commission reserves the right, however, under the provisions of section 301(a) of the Federal Power Act, to classify such licensees and public utilities and to prescribe a system or classification of accounts to be kept by and which will be convenient for and meet the requirements of each class.

These systems of accounts are applicable to public utilities, as herein defined, and to licensees engaged in the generation and sale of electric energy for ultimate distribution to the public.

The systems of accounts shall also apply to agencies of the United States engaged in the generation and sale of electric energy for ultimate distribution to the public, so far as may be practicable, in accordance with applicable statutes.

In accordance with the requirements of section 3 of the act, the "classification of investment in road and equipment of steam roads, issue of 1914, Interstate

Commerce Commission," is published and promulgated as a part of the accounting rules and regulations of the Commission, and a copy thereof is located at Part 103. Irrespective of any rules and regulations contained in these systems of accounts, the cost of original and betterments thereof, shall be determined under the rules and principles as defined and interpreted in said classification of the Interstate Commerce Commission so far as applicable.

CLASSIFICATION OF UTILITIES

For the purpose of applying systems of accounts prescribed by the Commission, electric utilities and licensees are divided into four classes, as follows:

Class A. Utilities having annual electric operating revenues of \$2,500,000 or more.

Class B. Utilities having annual electric operating revenues of \$1,000,000 or more but less than \$2,500,000.

Class C. Utilities having annual electric operating revenues of \$150,000 or more but less than \$1,000,000.

Class D. Utilities having annual electric operating revenues of \$25,000 or more but less than \$150,000.

ACCOUNTS FOR CLASS A, B, C, AND D PUBLIC UTILITIES AND LICENSEES
ELECTRIC PLANT ACCOUNTS

PLANT PRODUCTION

Hydraulic Production

- 330 Land and land rights.
- 331 Structures and improvements.
- 332 Reservoirs, dams and waterways.
- 333 Water wheels, turbines and generators.
- 334 Accessory electric equipment.
- 335 Miscellaneous power plant equipment.
- 336 Roads, railroads and bridges.

Transmission Plant

- 350 Land and land rights.
- 351 (Reserved)
- 352 Structures and improvements.
- 353 Station equipment.
- 354 Towers and fixtures.
- 355 Poles and fixtures.
- 356 Overhead conductors and devices.
- 357 Underground conduit.
- 358 Underground conductors and devices.
- 359 Roads and trails.

**ACCOUNTS FOR CLASS A AND CLASS B
OPERATION AND MAINTENANCE EXPENSE ACCOUNTS**

POWER PRODUCTION EXPENSES

Hydraulic Power Generation

Operation

- 535 Operation supervision and engineering.
- 536 Water for power.
- 537 Hydraulic expenses.
- 538 Electric expenses.
- 539 Miscellaneous hydraulic power generation expenses.
- 540 Rents.

Maintenance

- 541 Maintenance supervision and engineering.
- 542 Maintenance of structures.
- 543 Maintenance of reservoirs, dams and waterways.
- 545 Maintenance of miscellaneous hydraulic plant.

TRANSMISSION EXPENSES

Operation

- 560 Operation supervision and engineering.
- 561 Load dispatching.
- 562 Station expenses.
- 563 Overhead line expenses.
- 564 Underground line expenses.
- 565 Transmission of electricity by others.
- 566 Miscellaneous transmission expenses
- 567 Rents.

Maintenance

- 568 Maintenance supervision and engineering.
- 569 Maintenance of structures.
- 570 Maintenance of station equipment.
- 571 Maintenance of overhead line.
- 572 Maintenance of underground lines.
- 573 Maintenance of miscellaneous transmission plant.

SALES EXPENSES

Operation

- 911 Supervision.
- 912 Demonstrating and selling expenses.
- 913 Advertising expenses.
- 916 Miscellaneous sales expenses.

ADMINISTRATIVE AND GENERAL EXPENSES

Operation

- 920 Administrative and general salaries.
- 921 Office supplies and expenses.
- 922 Administrative expenses transferred - Cr.
- 923 Outside services employed.
- 924 Property insurance.
- 925 Injuries and damages.
- 926 Employee pensions and benefits.
- 927 Franchise requirements.
- 928 Regulatory commission expenses.
- 929 Duplicate charges - Cr.
- 930 Miscellaneous general expenses.
- 931 Rents.

Maintenance

- 932 Maintenance of general plant.

**ACCOUNTS FOR CLASS C AND CLASS D
OPERATION AND MAINTENANCE EXPENSE ACCOUNTS**

POWER PRODUCTION EXPENSES

Hydraulic Power Generation

Operation

- 530 Operation supervision and labor.
- 531 Water for power.
- 532 Operation supplies and expenses.
- 533 Rents.

Maintenance

- 535 Maintenance of hydraulic production plant.

TRANSMISSION EXPENSES

Operation

- 550 Operation supervision and labor.
- 551 Operation supplies and expenses.
- 552 Rents.

Maintenance

- 553 Maintenance of transmission plant.

SALES EXPENSE

Operation

- 910 Sales expenses.

ADMINISTRATIVE AND GENERAL EXPENSES

Operation

- 920 Administrative and general salaries.
- 921 Office supplies and expenses.
- 922 Administrative expenses transferred - Cr.
- 923 Outside services employed.
- 924 Property insurance.
- 925 Injuries and damages.
- 926 Employee pensions and benefits.
- 927 Franchise requirements.
- 928 Regulatory commission expenses.
- 929 Duplicate charges - Cr.
- 930 Miscellaneous general expenses.
- 931 Rents.
- 933 Transportation expenses.

Maintenance

- 935 Maintenance of general plant.

EXHIBIT III
PUBLIC UTILITY REGULATORY POLICIES ACT

PUBLIC LAW 95-617—Nov. 9, 1978

TITLE IV — SMALL HYDROELECTRIC POWER PROJECTS

SEC. 401. ESTABLISHMENT OF PROGRAM.

The Secretary shall establish a program in accordance with this title to encourage municipalities, electric cooperatives, industrial development agencies, non-profit organizations, and other persons to undertake the development of small hydroelectric power projects in connection with existing dams which are not being used to generate electric power.

SEC. 402. LOANS FOR FEASIBILITY STUDIES.

(a) **LOAN AUTHORITY.**—The Secretary, after consultation with the Commission, is authorized to make a loan to any municipality, electric cooperative, industrial development agency, nonprofit organization, or other person to assist such person in defraying up to 90 percent of the costs of—

- (1) studies to determine the feasibility of undertaking a small hydroelectric power project at an existing dam or dams and
- (2) preparing any application for a necessary license or other Federal, State, and local approval respecting such a project at an existing dam or dams and of participating in any administrative proceeding regarding any such application.

(b) **CANCELLATION.**—The Secretary may cancel the unpaid balance and any accrued interest on any loan granted pursuant to this section if he determines on the basis of the study that the small hydroelectric power project would not be technically or economically feasible.

SEC. 403. LOANS FOR PROJECT COSTS.

(a) **AUTHORITY.**—The Secretary is authorized to make loans to any municipality, electric cooperative, industrial development agency, nonprofit organization, or other person of up to 75 percent of the project costs of a small hydroelectric power project. No such loan may be made unless the Secretary finds that—

- (1) the project will be constructed in connection with an existing dam or dams,
- (2) all licenses and other required Federal, State, and local approvals necessary for construction of the project have been issued.
- (3) the project will have no significant adverse environmental effects, including significant adverse effects on fish and wildlife, on recreational use of water, and on stream flow, and
- (4) the project will not have a significant adverse effect on any other use of the water used by such project.

The Secretary may make a commitment to make a loan under this subsection to an applicant who has not met the requirements of paragraph (2), pending compliance

by such applicant with such requirements. Such commitment shall be for period of not to exceed 3 years unless the Secretary, in consultation with the Commission, extends such period for good cause shown. Notwithstanding any such commitment, no such loan shall be made before such person has complied with such requirements.

(b) **PREFERENCE.**—The Secretary shall give preference to applicants under this section who do not have available alternative financing which the Secretary deems appropriate to carry out the project and whose projects will provide useful information as to the technical and economic feasibility of—

- (1) the generation of electric energy by such projects, and
- (2) the use of energy produced by such projects.

(c) **INFORMATION.**—Every applicant for a license for a small hydroelectric power project receiving loans pursuant to this section shall furnish the Secretary with such information as the Secretary may require regarding equipment and services proposed to be used in the design, construction, and operation of such project. The Secretary shall have the right to forbid the use in such project of any equipment or services he finds inappropriate for such project by reason of cost, performance, or failure to carry out the purposes of this section. The Secretary shall make information which he obtains under this subsection available to the public, other than information described as entitled to confidentiality under section 11(d) of the Energy Supply and Environmental Coordination Act of 1974.

(d) **JOINT PARTICIPATION.**—In making loans for small hydroelectric power projects under this section, the Secretary shall encourage joint participation, to the extent permitted by law, by applicants eligible to receive loans under this section with respect to the same project.

SEC. 404. LOAN RATES AND REPAYMENT.

(a) **INTEREST.**—Each loan made pursuant to this title shall bear interest at the discount or interest rate used at the time the loan is made for water resources planning projects under section 80 of the Water Resources Development Act of 1974 (42 U.S.C. 1962-17(a)). Each such loan shall be for such term, as the Secretary deems appropriate, but not in excess of—

- (1) 10 years (in the case of a loan under section 402) or
- (2) 30 years (in the case of a loan under section 403).

(b) **REPAYMENTS.**—Amounts repaid on loans made pursuant to this title shall be deposited into the United States Treasury as miscellaneous receipts.

SEC. 405. SIMPLIFIED AND EXPEDITIOUS LICENSING PROCEDURES.

(a) **ESTABLISHMENT OF PROGRAM.**—The Commission shall establish, in such manner as the Commission deems appropriate, consistent with the applicable provisions of law, a program to use simple and expeditious licensing procedures under the Federal Power Act for small hydroelectric power projects in connection with existing dams.

(b) **PREREQUISITES.**—Before issuing any license under the Federal Power Act for the construction or operation of any small hydroelectric power project the Commission—

(1) shall assess the safety of existing structures in any proposed project (including possible consequences associated with failure of such structures), and

(2) shall provide an opportunity for consultation with the Council on Environmental Quality and the Environmental Protection Agency with respect to the environmental effects of such project.

Nothing in this subsection exempts any such project from any requirement applicable to any such project under the National Environmental Policy Act of 1969, the Fish and Wildlife Coordination Act, the Endangered Species Act, or any other provision of Federal law.

(c) **FISH AND WILDLIFE FACILITIES.**—The Commission shall encourage applicants for licenses for small hydroelectric power projects to make use of public funds and other assistance for the design and construction of fish and wildlife facilities which may be required in connection with any development of such project.

SEC. 406. NEW IMPOUNDMENTS.

Nothing in this title authorizes (1) the loan of funds for construction of any new dam or other impoundment, or (2) the simple and expeditious licensing of any such new dam or other impoundment.

SEC. 407. AUTHORIZATIONS.

There are hereby authorized to be appropriated for each of the fiscal years ending September 30, 1978, September 30, 1979, and September 30, 1980, no to exceed \$10,000,000 for loans to be made pursuant to section 402, such funds to remain available until expended. There are hereby authorized to be appropriated for each

of the fiscal years ending September 30, 1978, September 30, 1979, September 30, 1980, not to exceed \$100,000,000 for loans to be made pursuant to section 403, such funds to remain available until expended.

SEC. 408. DEFINITIONS.

For purposes of this title, the term—

(1) “small hydroelectric power project” means any hydroelectric power project which is located at the site of any existing dam, which uses the water power potential of such dam, and which has not more than 15,000 kilowatts of installed capacity;

(2) “electric cooperative” means any cooperative association eligible to receive loans under section 4 of the Rural Electrification Act of 1936 (7 U.S.C. 904);

(3) “industrial development agency” means any agency which is permitted to issue obligations the interest on which is excludable from gross income under section 103 of the Internal Revenue Code of 1954;

(4) “project costs” means the cost of acquisition or construction of all facilities and services and the cost of acquisition of all land and interests in land used in the design and construction and operation of a small hydroelectric power project;

(5) “nonprofit organization” means any organization described in section 501(c)(3) or 501(c)(4) of the Internal Revenue Code of 1954 and exempt from tax under section 501(a) of such Code (but only with respect to a trade or business carried on by such organization which is not an unrelated trade or business, determined by applying section 513(a) to such organization);

(6) “existing dam” means any dam, the construction of which was completed on or before April 20, 1977, and which does not require any construction or enlargement of impoundment structures (other than repairs or reconstruction) in connection with the installation of any small hydroelectric power project;

(7) “municipality” has the meaning provided in section 3 of the Federal Power Act; and

(8) “person” has the meaning provided in section 3 of the Federal Power Act.